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**SOME NEW CRITERIONS FOR SEQUENCES WHICH SATISFY  
 DUFFIN—SCHAEFFER CONJECTURE, I.**

OTO STRAUCH, Bratislava

In [4, p. 255], Duffin and Schaeffer gave following conjecture (abbreviated D.S.C.):

Let  $\{q_i\}$  be a one-to-one sequence of positive integers and  $\{f(q_i)\}$  be a sequence of positive real numbers. If the series

$$\sum \varphi(q_i) f(q_i)$$

is divergent ( $\varphi$  stands for Euler totient function), then for almost all  $u$  the diophantine inequality

$$\left| u - \frac{p}{q_i} \right| < f(q_i)$$

has for infinitely many  $i$  an integer solution  $p$  with  $\text{g.c.d.}(p, q_i) = 1$ .

In [1] we proved following criterion for D.S.C., see [1, Theorem 5 and Proof of Theorem 14]:

Let  $N(q_i, q_j, t)$  denote the number of pairs of positive integers  $x, y$  for which

$$0 < \frac{x}{q_i} - \frac{y}{q_j} \leq t$$

$$0 < x < q_i, \quad 0 < y < q_j, \quad (x, q_i) = (y, q_j) = 1 \tag{1}$$

**Theorem 1.** Let there for infinitely many positive integer  $m$  exist positive constants  $c_m, c'_m$  with  $c'_m \rightarrow 0$  for  $m \rightarrow \infty$ , such that

$$\sum_{m < i, j \leq n} N(q_i, q_j, t) \leq c_m t \left( \sum_{m < i \leq n} \varphi(q_i) \right)^2 + c'_m \left( \sum_{m < i \leq n} \varphi(q_i) \right) \tag{2}$$

for all sufficiently large  $n$  and for every  $t > 0$ . Then  $\{q_i\}$  satisfies D.S.C. with every nonincreasing  $\{f(q_i)\}$ .

Using Theorem 1 and a new estimation for the function

$$\varphi_q(x) = \sum_{\substack{i \leq x \\ (i, q) = 1}} 1 \tag{3}$$

we can find new sequences  $\{q_i\}$  which satisfy D.S.C. Put

$$d_{ij} = (q_i, q_j), \quad q_{ij} = q_i q_j / d_{ij}^2$$

**Theorem 2.** If

$$\sum \frac{\log^2 q_{ij}}{q_{ij}} \frac{\varphi(q_i)}{q_i} \frac{\varphi(q_j)}{q_j} < +\infty \quad (4)$$

then  $\{q_i\}$  satisfies D.S.C. for every  $\{f(q_i)\}$ .

**Proof.** By [1, Lemma 8] a number of pairs of positive integers  $x, y$  which satisfies (1) and

$$\frac{x}{q_i} - \frac{y}{q_j} = \frac{a}{d_{ij} q_{ij}}$$

is not greater than

$$\varphi(d_{ij}) \frac{\varphi(d_{ij}^o)}{d_{ij}^o} \frac{(a, d_{ij}^o)}{\varphi((a, d_{ij}^o))}$$

where  $(a, q_{ij}) = 1$  and

$$d_{ij}^o = \prod_{\substack{p \nmid d_{ij} \\ p \nmid q_{ij}}} p$$

From it

$$\begin{aligned} N(q_i, q_j, t) &\leq \varphi(d_{ij}) \frac{\varphi(d_{ij}^o)}{d_{ij}^o} \sum_{\substack{a \leq t d_{ij} q_{ij} \\ (a, q_{ij}) = 1}} \frac{(a, d_{ij}^o)}{\varphi((a, d_{ij}^o))} = \\ &= \varphi(d_{ij}) \frac{\varphi(d_{ij}^o)}{d_{ij}^o} \sum_{d \mid d_{ij}^o} \frac{|\mu(d)|}{\varphi(d)} \sum_{\substack{a \leq t d_{ij} q_{ij} / d \\ (a, q_{ij}) = 1}} 1 \end{aligned} \quad (5)$$

Further we have a need of a good estimation of the function  $\varphi_q(x)$ . Denote

$$\varphi_q(x) = \prod_{\substack{p > x \\ p \nmid q}} p$$

where  $p$  are prime numbers.

**Lemma 1.**

$$\varphi_q(x) \leq c_0 x \frac{\varphi(q)}{q} \frac{q(x)}{\varphi(q(x))} \quad (6)$$

for every positive  $x$  and integer  $q$ . If  $x \geq \log q$  then

$$\varphi_q(x) \leq c_0 x \frac{\varphi(q)}{q} \quad (7)$$

If  $2 \leq x \leq \log q$  and  $q \geq 3$  then

$$\varphi_q(x) \leq c_0 x \frac{\varphi(q) \log \log q}{q \log x} \quad (8)$$

**Proof.** Let  $x \geq p$  for every prime  $p \setminus q$ . Then, see [2, p. 105], (7) is true. Always, if  $i \leq x$  then

$$\left( i, \prod_{\substack{p \leq x \\ p \setminus q}} p \right) = 1 \Leftrightarrow (i, q) = 1$$

(6) follows from it. By [5, p. 353] we have

$$\prod_{\substack{p \setminus q \\ p \leq \log q}} \left( 1 - \frac{1}{p} \right)^{-1} \leq c_0$$

for every  $q$ . (7) follows from it. Using Mertens theorem we have (8).

We note that in this paper  $c_0$  denotes a positive absolute constant and  $c_1, c_2, c_m, c'_m$  positive constants where the same letter way have different meaning.

Now, from (5) and (6) we have

$$N(q_i, q_j, t) \leq \varphi(d_{ij}) \frac{\varphi(d_{ij}^{\circ})}{d_{ij}^{\circ}} c_0 t d_{ij} q_{ij} \frac{\varphi(q_{ij})}{q_{ij}} A \quad (9)$$

where

$$A = \sum_{\substack{d \setminus d_{ij}^{\circ} \\ x = t d_{ij} q_{ij} / d}} \frac{|\mu(d)|}{\varphi(d) d} \frac{q_{ij}(x)}{\varphi(q_{ij}(x))}$$

Denote

$$x_{ij} = t d_{ij} q_{ij}$$

From (9) and by equality

$$\frac{\varphi(d_{ij})}{d_{ij}} \frac{\varphi(d_{ij}^{\circ})}{d_{ij}^{\circ}} \frac{\varphi(q_{ij})}{q_{ij}} = \frac{\varphi(q_i)}{q_i} \frac{\varphi(q_j)}{q_j}$$

immediately follows

$$N(q_i, q_j, t) \leq c_0 t \varphi(q_i) \varphi(q_j) \frac{q_{ij}(x_{ij})}{\varphi(q_{ij}(x_{ij}))} \sum_{d \setminus d_{ij}^{\circ}} \frac{|\mu(d)|}{\varphi(d) d} B \quad (10)$$

where

$$B = \prod_{x < p \leq x_{ij}} \left(1 - \frac{1}{p}\right)^{-1}$$

If  $x \geq 2$  then by Mertens theorem

$$B \leq c_1 \frac{\log x_{ij}}{\log x} = c_1 \left( \frac{\log x + \log d}{\log x} \right) \leq c_1 \left( 1 + \frac{\log d}{\log 2} \right)$$

If  $x < 2$  then  $x_{ij} < 2d$ . Hence

$$B \leq c_2 \log 2d$$

In both cases the sum from (10) is not greater than an absolute positive constant.  
Summary

**Theorem 3.**

$$N(q_i, q_j, t) \leq c_0 t \varphi(q_i) \varphi(q_j) \frac{q_{ij}(x_{ij})}{\varphi(q_{ij}(x_{ij}))}$$

Let us denote the following interval

$$I_{ij} = \left[ \frac{1}{d_{ij} q_{ij}}, \frac{\log q_{ij}}{d_{ij} q_{ij}} \right]$$

From Theorem 3 and Lemma 1 immediately follows

**Theorem 4.** If  $t \notin I_{ij}$  then

$$N(q_i, q_j, t) \leq c_0 t \varphi(q_i) \varphi(q_j) \quad (11)$$

If  $t \in I_{ij}$  then

$$N(q_i, q_j, t) \leq c_0 t \varphi(q_i) \varphi(q_j) \frac{\log \log 3q_{ij}}{\log 2t d_{ij} q_{ij}} \quad (12)$$

Let us return to the proof of Theorem 2 now. Using conditions  $t \notin I_{ij}$  or  $t \in I_{ij}$  we can divide the sum  $\sum N(q_i, q_j, t)$  ( $m < i, j \leq n$ ) into two parts. By (11) the first part is not greater than the first term from (2). By (12) (or again by (11)) if  $t = \log q_{ij} / d_{ij} q_{ij}$  then

$$N(q_i, q_j, t) \leq c_0 \frac{\log q_{ij}}{d_{ij} q_{ij}} \varphi(q_i) \varphi(q_j)$$

Using Cauchy inequality

$$\begin{aligned} \sum_{t \in I_{ij}} N(q_i, q_j, t) &\leq c_0 \sum \sqrt{\varphi(q_i) \varphi(q_j)} \frac{\log q_{ij}}{d_{ij} q_{ij}} \sqrt{\varphi(q_i) \varphi(q_j)} \leq \\ &\leq c_0 \left( \sum_{m < i \leq n} \varphi(q_i) \right) \sqrt{C} \end{aligned} \quad (13)$$

where  $C$  is a partial sum of the convergent series (4) for  $m < i, j \leq n$ . Thus (13) is the second term from (2). The proof is finished.

Some consequences

**Theorem 6.** If

$$\sum \frac{\varphi(q_i)}{q_i} < +\infty$$

then  $\{q_i\}$  satisfies D.S.C. for every  $\{f(q_i)\}$ .

**Theorem 7.** Let  $\{q_i\}$  be a sequence of relative primes positive integers. Then  $\{q_i\}$  satisfies D.S.C. for every  $\{f(q_i)\}$ .

**Proof.** Let us divide the sequence  $\{q_i\}$  into two subsequences such that the first contains every prime  $q_i$  and the second contains all the remaining ones. The first subsequence satisfies Duffin—Schaeffer's theorem [4, Theorem I]. For the second subsequences we have

$$\sum \frac{\log^2 q_i}{q_i} < +\infty$$

because every  $q_i$  contains two primes. From it follows (4) and therefore it again satisfies D.S.C.

Further we shall give two new criterions for sequences  $\{q_i\}$  which satisfy D.S.C.

**Theorem 8.** Let  $\{t^*\}$  be a finite sequence of all distances between neighbouring rational numbers from the interval  $[0, 1]$  which denominators (in a canonical form) are contained in the sequence  $\{q_i\}_{i \leq n}$ . Let there for every sufficiently large  $n$  exist a finite sequence  $\{t_i\}$  of positive real numbers such that

$$t_i \leq t^*$$

for every  $i$  and

$$\sum_{i \leq t} t_i \leq c_0 t^2 \left( \sum_{i \leq n} \varphi(q_i) \right)^2$$

for every  $t > 0$ .

Then the sequence  $\{q_i\}$  satisfies D.S.C. with every nonincreasing  $\{f(q_i)\}$ .

Let  $N(\Sigma)$  denote the number of terms of indicated sum  $\Sigma$ .

**Theorem 9.** Let  $K$  be a fixed positive integer. Let there for infinitely many  $m$  exist a decomposition  $\{q_i\}_{m < i}$  into  $K$  subsequences  $\{q_i\}_{m < i}^j, j = 1, 2, \dots, K$  (for other  $m$  this decompositions are independent), for which there exist positive constants  $c_m^j$  and positive functions  $F_m^j$  such that

$$c_m^j \rightarrow 0 \quad \text{for } m \rightarrow \infty \quad (14)$$

$$F_m^j \text{ is nondecreasing and } F_m^j(u) \rightarrow 0 \text{ for } u \rightarrow 0 \quad (15)$$

$$N(\Sigma) \leq \left( \sum_{m < i \leq n} \varphi(q_i) \right) F_m^j(\Sigma) + c_m^j \left( \sum_{m < i \leq n} \varphi(q_i) \right) \quad (16)$$

for all sufficiently large  $n$  and for every subsum  $\Sigma$  of the sum of all distances between neighbouring rational numbers from the interval  $[0, 1]$  which denominators are contained in  $\{q_i\}_{m < i \leq n}$  (or more precisely for every sequences of subsums  $\{\Sigma_n\}$  for which  $\Sigma_n \geq c > 0$ ).

Then the sequence  $\{q_i\}$  satisfies D.S.C. with every nonincreasing  $\{f(q_i)\}$ .

By theory of the paper [1] the proofs of Theorems 8, 9 follow from following two criterions for quick sequences (see [1, Definitions 2,3, Corollary 1, Parts 4,5]).

**Theorem 10.** Let  $I$  be a fixed finite interval of real numbers. Let  $\{y_i\}$  be a positively distributed (see [1, Definition 1]), one-to-one, infinite sequence of points from  $I$ . Order the finite sequence  $\{y_i\}_{i \leq n}$  on the increasing sequence

$$y_{i(1)} < y_{i(2)} < \dots < y_{i(n)}$$

Put

$$\{t_i^*\} = \{y_{i(j+1)} - y_{i(j)}\}_{j < n}$$

Let there for every sufficiently large  $n$  exist a finite sequence  $\{t_i\}$  such that

$$0 < t_i \leq t_i^* \quad (17)$$

and

$$\sum_{i \leq t} t_i \leq c_0 t^2 n^2 \quad (18)$$

Then  $\{y_i\}$  is quick in  $I$ .

**Theorem 11.** Let  $\{y_i\}$  be a positively distributed sequence. Let there for infinitely many  $m$  exist a decomposition  $\{y_i\}_{m < i}$  into  $K$  subsequences  $\{y_i\}_{m < i}^j$ ,  $j = 1, 2, \dots, K$ , for which there exist positive constants  $c_m^j$  and positive functions  $F_m^j$  which satisfy (14), (15) and

$$N(\Sigma) \leq (n - m) F_m^j(\Sigma) + c_m^j (n - m)$$

for all sufficiently large  $n$  and for every subsum  $\Sigma$  of the sum  $\Sigma t_i^*$ .

Then  $\{y_i\}$  is quick.

**Proof of Theorem 10.** Let  $\{t_i\}$  be a finite nondecreasing sequence of positive real numbers for which

$$\sum_{i \leq t} t_i \leq c_1 t^2 \quad (19)$$

for every  $t > 0$ . (19) is equivalent to

$$\sum_{i \leq j} t_i \leq c_1 t_j^2$$

for every  $t_i \in \{t_i\}$ . For  $\{t_i\}$  we can construct a finite sequence  $\{t'_i\}$  such that  $\{t'_i\}$  is a positive root of the equation

$$c_1 t_i'^2 - t'_i - (t'_1 + t'_2 + \dots + t'_{i-1}) = 0 \quad (20)$$

Clearly

$$t'_i \leq t_i \quad (21)$$

for every  $i$  and by (20) we have

$$1 = \frac{\sqrt{c_1} t'_i}{\sqrt{t'_1 + t'_2 + \dots + t'_i}} \quad (22)$$

For  $i = 1, 2, \dots, j$  the sum of the right hands of (22) is a lower integral sum of the decreasing function  $g(u) = \sqrt{c_1}/\sqrt{u}$  on the interval  $[0, t'_1 + t'_2 + \dots + t'_j]$  which is divided into following intervals  $[0, t'_1], [t'_1, t'_1 + t'_2], [t'_1 + t'_2, t'_1 + t'_2 + t'_3] \dots$ . Thus this sum is not greater than the integral of  $g(u)$  on  $[0, t'_1 + t'_2 + \dots + t'_j]$ . By computation we obtain

$$j \leq 2\sqrt{c_1} \sqrt{t'_1 + t'_2 + \dots + t'_j} \quad (23)$$

Let  $\Sigma$  be a subsum of the sum  $\Sigma t_i$ . Since  $\{t_i\}$  is nondecreasing and satisfies (21), it follows from (23)

$$N(\Sigma) \leq 2\sqrt{c_1} \sqrt{\Sigma} \quad (24)$$

Also (24) is true if  $\Sigma$  is a subsum of the sum  $\Sigma t_i^*$ , where  $t_i \leq t_i^*$  for every  $i$ .

Now, let  $\{t_i\}, \{t_i^*\}$  be sequences from Theorem 10 which satisfy (17), (18). Put in (24)  $c_1 = c_0 n^2$ . Then

$$N(\Sigma) \leq n 2\sqrt{c_0} \sqrt{\Sigma}$$

for every subsum  $\Sigma$  of the sum  $\Sigma t_i^*$ . Thus  $\{y_i\}$  satisfies the conditions in [1, Theorem 1]. Hence  $\{y_i\}$  is quick.

**Proof of Theorem 11.** Theorem 11 is a generalization of [1, Theorem 2] and by its proof and notations

$$N(n) \geq \max_{1 \leq j \leq k} \left\{ P^j(n) - m - (n - m) F_m^j \left( \sum_{i>k} |I_i| \right) - c_m^j (n - m) \right\} \quad (25)$$

where  $P^j(n)$  denotes the number of terms from  $\{y_i\}_{i \leq n}^j$  for which



$$y_i \in I - \bigcup_{i>k} I_i$$

By assumption  $\{y_i\}$  is a positive distributed sequence, then for all sufficiently large  $n$

$$P^j(n) \geq \frac{c}{K} |I - \bigcup I_i| n = c_1 n$$

for any  $j$ ,  $1 \leq j \leq K$ , ( $j$  is dependent on  $n$ ). By (14) for sufficiently large  $m$ ,  $c_m^j \leq c_1/4$  for every  $j$ . By (15) for this  $m$  we can find  $k$  such that

$$F_m^j \left( \sum_{i>k} |I_i| \right) \leq \frac{c_1}{4}$$

for every  $j$ . Thus by (25)  $N(n) \geq nc_1/2$ .

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#### РЕЗЮМЕ

#### НЕКОТОРЫЕ НОВЫЕ ПРИЗНАКИ ДЛЯ ПОЛЕДОВА ТЕЛЬНОСТЕЙ УДОВЛЕТВОРЯЮЩИХ ГИПОТЕЗЕ ДАФФИНА—ШАФФЕРА, I.

Ото Штраух, Братислава

Пусть  $\{q_i\}$  простая последовательность натуральных чисел и  $\{f(q_i)\}$ —последовательность положительных действительных чисел. По гипотезе Даффина—Шаффера из расходимости ряда  $\sum \varphi(q_i) f(q_i)$  ( $\varphi$  — функция Эйлера) следует что диофантово неравенство

$$\left| u - \frac{p}{q_i} \right| < f(q_i)$$

имеет решение  $p$  для почти всех  $u$ , для бесконечного количества  $q_i$ ,  $(p, q_i) = 1$ . В этой работе показано, что гипотеза Даффина—Шаффера имеет место если  $(q_i, q_j) = 1$ ,  $i \neq j$ , или если ряд  $\Sigma \varphi(q_i)/q_i$  сходится.

## SÚHRN

### NIEKTORÉ NOVÉ KRITÉRIÁ PRE POSTUPNOSTI, KTORÉ VYHOVUJÚ DUFFIN—SCHAEFFEROVEJ HYPOTÉZE, I.

Oto Strauch, Bratislava

V práci je ukázané, že hypotéza Duffin—Schaeffera platí, ak postupnosť  $\{q_i\}$  sa skladá z po dvoch nesúdeliteľných čísel, alebo ak konverguje rad  $\Sigma \varphi(q_i)/q_i$ . Ďalej sú tu odvodené dve nové kritériá pre platnosť hypotézy.

