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ORDER-PRESERVING MAPPINGS OF COUNTABLE DENSE SETS OF REALS

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1. Introduction and the result

A well-known theorem says that every countable dense ordered sets without the first and the last element have the same order type, i.e. there is an order-preserving bijective mapping of one of them onto the other (see [2]).

In the case that these sets are subsets of the set R of reals, of course also some further properties of that mapping can be required. The main concern is so that it can be extended into a continuous function on the whole R . We can see that here the dense order is not enough, but the density of both sets in R (or another additional condition) must be required. Similar problems are studied in [1].

In the paper it is demonstrated that in such a case there is a bijection between both sets which is not only continuous but which has all derivatives as well and even we can develop it into the MacLaurin series. A function which can be developed to the MacLaurin series (which converges to the function for every real x) will be called analytic function.

The main result of the present paper is:

1.1. Theorem. Let A and B be dense countable subsets of R . Let $\varphi(x)$ be a continuous positive function and let k be a positive integer. Then there exists an increasing analytic function $f(x)$ which is a one to one correspondence between the sets A and B and satisfies the inequality

$$\max \{|f(x) - x|, |f'(x) - 1|, |f''(x)|, \dots, |f^{(k)}(x)|\} < \varphi(x) \quad (1.1)$$

for every $x \in R$.

This result can be extended as follows; N denote the set of positive integers.

1.2. Theorem. Let $(A_i)_{i \in N}$, $(B_i)_{i \in N}$ be two collections of mutually disjoint, countable, dense subsets of the real line. Let $\varphi(x)$ be a continuous positive function and $k \in N$. Then there exists an analytic increasing function $f(x)$ satisfying (1.1) and

such that

$$f(A_i) = B_i \text{ for all } i \in N. \quad (1.2)$$

In Section 3 we shall show that we cannot ask for f to be a polynomial instead to be an analytic function in the theorem above. Analogously, the dense subsets of the real line cannot be replaced by dense subsets of the complex plane.

2. Proof of the theorems

2.1. Lemma. Let $\Psi(x)$ be a continuous positive function. Then there exists an even analytic function $h(x)$ satisfying the inequality

$$h(x) < \psi(x) \text{ for every real } x. \quad (2.1)$$

Proof. We shall construct the function $h(x)$ in the form $h(x) = e^{-H(x)}$. To construct the function $H(x)$ define

$$F_n = \frac{1}{\min \{ \Psi(x) : |x| \leq 2^{n+1} \}} \quad (2.2)$$

and for $i < n$ denote by $q(n, i)$ the least positive integer such that

$$(2^{n-i})^{q(n, i)} \geq 2^{n-i} \frac{F_n}{F_i}.$$

Further define $k(1) = 0$ and

$$k(n) = 2 \max \{ k(n-1) + 1, q(n, 1), \dots, q(n, n-1) \}$$

for all integers $n > 1$.

All the integers $k(n)$ are obviously even and $k(n) < k(n+1)$ for all $n \in N$.

Now define

$$c_{k(n)} = \frac{F_n}{2^{n \cdot k(n)}} \text{ for all } n \in N$$

and

$$H(x) = \sum_{n=1}^{\infty} c_{k(n)} \cdot x^{k(n)}. \quad (2.3)$$

We have to prove that the series (2.3) converges for every real x . Let $x \in R$. There is $m \in N$ such that $|x| \leq 2^m$. For all integers $j > m$ we obtain

$$|c_{k(j)} x^{k(j)}| \leq c_{k(j)} \cdot 2^{m \cdot k(j)} \leq \frac{F_j}{2^{j \cdot k(j)}} \cdot 2^{m \cdot k(j)} =$$

$$= \frac{F_j}{2^{(j-m)k(j)}} \leq \frac{F_j}{2^{(j-m)q(j,m)}} \leq \frac{F_j}{2^{(j-m)} \cdot \frac{F_j}{F_m}} = (F_m \cdot 2^m) \cdot 2^{-j}.$$

Hence (2.3) converges by the comparative criterion. Since all $k(n)$ are even and positive, the function $H(x)$ is even and hence

$$h(x) = e^{-H(x)},$$

is even, too. The function $h(x)$ is obviously positive and analytic.

It remains to show $h(x) < \Psi(x)$ for every $x \in \mathbf{R}$.

Let $x \in \mathbf{R}$, $|x| < 2$. Since $0 < H(0) \leq H(x)$ and $e^{-x} < x^{-1}$ for all positive x , we have

$$h(x) = e^{-H(x)} \leq e^{-H(0)} < \frac{1}{H(0)} = \frac{1}{c_{k(1)}} = \frac{1}{F_1} \leq \Psi(x).$$

Let $x \in \mathbf{R}$, $|x| \geq 2$. Then there is $m \in \mathbf{N}$ such that $2^m \leq |x| < 2^{m+1}$. From this we obtain

$$c_{k(m)} \cdot 2^{m \cdot k(m)} \leq c_{k(m)} \cdot |x|^{k(m)} \leq \sum_{i=1}^{\infty} c_{k(i)} x^{k(i)} = H(x).$$

This implies

$$h(x) = e^{-H(x)} < \frac{1}{H(x)} \leq \frac{1}{c_{k(m)} \cdot 2^{m \cdot k(m)}} = \frac{1}{F_m} \leq \Psi(x).$$

2.2. Remark. It is easy to see that the function $h(x)$ is increasing on the interval $(-\infty, 0)$.

2.3. Lemma. For every positive continuous function $\Psi(x)$ and every positive integer k there is a positive analytic function $g(x)$ such that

$$\max \{g(x), |g'(x)|, \dots, |g^{(k)}(x)|\} < \Psi(x) \cdot e^{-x^2} \quad (2.4)$$

for every real x .

Proof. By 2.1 and 2.2 we may assume that $\Psi(x)$ is an even continuous positive function, increasing on the interval $(-\infty, 0)$ and such that $\Psi(x) < \frac{1}{2}$ for every real x . By lemma 2.1. there is an even analytic positive function $g_0(x)$ satisfying the inequality

$$g_0(x) < \Psi(x) \cdot e^{-(k+1)x^2} \text{ for every real } x.$$

For $1 \leq n \leq k$ define

$$g_n(x) = \frac{1}{2^n} \cdot \int_{-\infty}^{-x} g_{n-1}(t) dt \cdot \int_{-\infty}^w g_{n-1}(t) dt \quad (2.5)$$

and denote

$$G_{n-1}(x) = \int_{-\infty}^x g_{n-1}(t) dt. \quad (2.6)$$

We show that $g_n(x)$ is an even analytic positive function satisfying the inequality

$$\max \{g_n(x), |g'_n(x)|, \dots, |g_n^{(n)}(x)|\} < \Psi(x) \cdot e^{-(k-n+1)x^2} \quad (2.7)$$

for every real x .

This we can prove by induction.

For $n=0$ it is obvious.

Let now $g_n(x)$ be an even analytic positive function satisfying (2.7). Since

$$\int_{-\infty}^{\infty} e^{-x^2} dx = \sqrt{\pi} < 2$$

and

$$0 < g_n(x) < \Psi(x) \cdot e^{-(k-n+1)x^2 < 1/2e^{-x^2}},$$

$\int_{-\infty}^{\infty} g_n(t) dt$ converges and

$$0 < \int_{-\infty}^w g_n(t) dt < \int_{-\infty}^{\infty} g_n(t) dt < 1 \text{ for every real } x. \quad (2.8)$$

(2.5), (2.6) and (2.8) implies that $g_{n+1}(x)$ is an even positive function.

The function $g_n(x)$ is analytic, hence the function $G_n(x)$ is also analytic and therefore the function $g_{n+1}(x)$ is analytic, too.

Now we shall verify the inequality (2.7).

Let x be a nonpositive real. The functions $\Psi(x)$ and $e^{-(k-n)x^2}$ are continuous and increasing on $(-\infty, 0)$, and $e^{-x^2} > 0$, hence according to the mean value theorem we have

$$\begin{aligned} 0 < \int_{-\infty}^x g_n(t) dt &\leq \int_{-\infty}^x \Psi(t) \cdot e^{-(k-n)t^2} \cdot e^{-t^2} dt \leq \\ &\leq \max \{ \Psi(t) \cdot e^{-(k-n)t^2} : t \in (-\infty, x) \} \cdot \int_{-\infty}^x e^{-t^2} dt < \Psi(x) \cdot e^{-(k-n)x^2}. \end{aligned}$$

Since all the functions are even, the inequality holds for positive x , too. Therefore

$$0 < G_n(x) < \Psi(x) \cdot e^{-(k-n)x^2} \text{ for every real } x. \quad (2.9)$$

From (2.5), (2.6), (2.8) and (2.9) now we have

$$g_{n+1}(x) = \frac{1}{2^{n+1}} \cdot G_n(-x) \cdot G_n(x) < \Psi(x) \cdot e^{-(k-(n+1)+1)x^2}$$

for every real x .

Further we get (using (2.6) and (2.8))

$$\begin{aligned} |g'_{n+1}(x)| &= \frac{1}{2^{n+1}} |-G'_n(-x) \cdot G_n(x) + G_n(-x) \cdot G'_n(x)| < \\ &< 2^{-n} \cdot g_n(x) < \Psi(x) \cdot e^{-(k-n)x^2}. \end{aligned}$$

Finally, let $0 \leq i \leq n$. Then we obtain

$$\begin{aligned} |g^{(i+1)}_{n+1}(x)| &= \frac{1}{2^{n+1}} |[-g_n(-x) \cdot G_n(x) + g_n(x) \cdot G_n(-x)]^{(i)}| \leq \\ &\leq \frac{1}{2^{n+1}} \sum_{j=0}^i \binom{i}{j} g_n^{(i-j)}(x) \cdot [G_n^{(j)}(x) + G_n^{(j)}(-x)] < \Psi(x) \cdot e^{-(k-n)x^2}. \end{aligned}$$

We have used that $\sum_{j=0}^i \binom{i}{j} = 2^i$ and that $|G_n^{(j)}(x)| < 1$. The inequality (2.7) is proved.

Now we put

$$g(x) = g_k(x). \quad (2.9)$$

Then $g(x)$ is an analytic positive function satisfying (2.4).

2.4. Lemma. Let $\varphi(x)$ be a continuous positive function. Let $g(x)$ be a continuous function such that

$$|g(x)| < \varphi(x) \cdot e^{-x^2} \text{ for every real } x.$$

Let $P_n(x)$ be a polynomial of degree n . Then the function $\frac{P_n(x) \cdot g(x)}{\varphi(x)}$ is bounded.

Proof. Since $|g(x)| < \varphi(x) \cdot e^{-x^2}$, we have

$$\left| \frac{P_n(x) \cdot g(x)}{\varphi(x)} \right| < |P_n(x)| \cdot e^{-x^2},$$

and the function on the right is obviously bounded.

2.5. Lemma. Let $\varphi(x)$ be a continuous positive function and let $F(x)$ be a function. Let n and k be positive integers. Let $C = \{c_1, \dots, c_n\} \subset \mathbb{R}$ and D be a dense subset of \mathbb{R} , let $c \in C$. Then there exists an analytic function $G(x)$ which vanishes at the points c_1, \dots, c_n and such that

$$F(c) + G(c) \in D, \quad (2.10)$$

$$\max \{|G(x)|, |G'(x)|, \dots, |G^{(k)}(x)|\} < \frac{\varphi(x)}{2^n}. \quad (2.11)$$

Proof. By lemma 2.3 there is an analytic positive function g satisfying (2.4). Define a function $h(x)$ by

$$h(x) = (x - c_1) \dots (x - c_n) \cdot g(x). \quad (2.12)$$

Therefore $h(x) = P_n(x) \cdot g(x)$, where $P_n(x)$ is a polynomial of degree n . Then

$$h^{(j)}(x) = \sum_{i=0}^j \binom{j}{i} P_n^{(i)}(x) g^{(j-i)}(x)$$

for all nonnegative integers j . By lemma 2.4. the functions

$$\frac{P_n^{(i)}(x) \cdot g^{(j-i)}(x)}{\varphi(x)}$$

are bounded for all nonnegative $i, j, i \leq j \leq k$, therefore the functions

$$\frac{h(x)}{\varphi(x)}, \dots, \frac{h^{(k)}(x)}{\varphi(x)}$$

are bounded, too.

Let L be their common bound and

$$p = \frac{1}{2^n \cdot L}.$$

The set D is a dense subset of R , hence there is

$$d \in D \cap (F(c) - p \cdot h(c), F(c) + p \cdot h(c)).$$

Let $r = \frac{d - F(c)}{h(c)}$. Define $G(x) = r \cdot h(x)$ for all real x .

The function $G(x)$ is obviously analytic, it vanishes at all the points c_1, \dots, c_n and $F(c) + G(c) = d \in D$. Finally, since $|r| < p$, for nonnegative integer $j \leq k$ we get

$$\left| \frac{G^{(j)}(x)}{\varphi(x)} \right| = |r| \cdot \left| \frac{h^{(j)}(x)}{\varphi(x)} \right| < p \cdot L = \frac{1}{2^n}.$$

Therefore we have

$$|G^{(j)}(x)| < \varphi(x) \cdot 2^{-n} \text{ for all } j \in \{0, 1, \dots, k\},$$

i.e. $G(x)$ satisfies 2.11, too.

Notice that 2.5. holds also for $n=0$; then $C = \emptyset$ and $h(x) = g(x)$.

2.6. Lemma. Let $\varphi(x)$ be a continuous positive function. Let n and k be positive integers. Let $D = \{d_1, \dots, d_n\} \subset R, d \notin D$ and C be a dense subset of R . Let $c_1, \dots, c_n \in R$ and let $F(x)$ be a continuous surjective function such that $F(c_i) = d_i$ for $i = 1, \dots, n$. Then there is an analytic function $G(x)$ and a number

$c \in C$ such that $G(x)$ vanishes at c_1, \dots, c_n , $F(c) + G(c) = d$ and (2.11) holds.

Proof. By 2.3. there is an analytic positive function $g(x)$ satisfying 2.4. Let $h(x)$ be then function defined by (2.12) and let L be a common bound for the functions

$$\frac{h(x)}{\varphi(x)}, \dots, \frac{h^{(k)}(x)}{\varphi(x)}. \text{ Put } p = \frac{1}{2^n \cdot L}.$$

Since $F(x)$ is surjective, there is $y \in \mathbf{R}$ such that $F(y) = d$. Since $d \notin D$, we have $y \neq c_i$ for $i = 1, \dots, n$ and therefore $h(y) \neq 0$.

The functions $F(x)$ and $h(x)$ are continuous at the point y , hence there is positive δ such that

$$|h(y) - h(t)| < \frac{|h(y)|}{2} \text{ and } |F(y) - F(t)| < \frac{p \cdot |h(y)|}{2}$$

whenever $|y - t| < \delta$. The set C is dense, hence there is

$$c \in C \cap (y - \delta, y + \delta) \text{ such that } c \neq c_i \text{ for } i = 1, \dots, n.$$

Put $r = \frac{d - F(c)}{h(c)}$ (obviously $h(c) \neq 0$). Since $|y - c| < \delta$, we have

$$|h(y)| - |h(c)| \leq |h(y) - h(c)| < \frac{|h(y)|}{2},$$

therefore

$$\frac{1}{|h(c)|} < \frac{2}{|h(y)|}.$$

Further we have

$$|F(y) - F(c)| = |d - F(c)| < \frac{p \cdot |h(y)|}{2},$$

hence $|r| < p$.

Now define

$$G(x) = r \cdot h(x).$$

Then $F(c) + G(c) = d$. The other required properties of G can be easily verified.

2.7. Proof of Theorem 1.1. We may obviously assume that $\varphi(x) < 1$ for every real x . Let

$$\begin{aligned} a_1, a_2, a_3, \dots \\ b_1, b_2, b_3, \dots \end{aligned}$$

be one to one lists of the elements of A, B , respectively. The function $f(x)$ will be

presented in the form

$$f(x) = x + \sum_{n=1}^{\infty} g_n(x), \quad (2.13)$$

where the functions $g_n(x)$ will be constructed by induction. Let $n = 1$. By 2.5. there is an analytic function $g_1(x)$ such that $a_1 + g_1(a_1) \in B$ and

$$\max \{|g_1(x)|, \dots, |g^{(k)}(x)|\} < \frac{\varphi(x)}{2}.$$

Denote $a_1 = c_1 + g_1(a_1) = d_1$, $C_1 = \{c_1\}$, $D_1 = \{d_1\}$. Now suppose that we have already sets $C_n = \{c_1, \dots, c_n\} \subset A$, $D_n = \{d_1, \dots, d_n\} \subset B$ and analytic functions $g_1(x), \dots, g_n(x)$ such that $f_n(c_i) = d_i$ for $i = 1, \dots, n$, where

$$f_n(x) = x + \sum_{j=1}^n g_j(x) \quad (2.14)$$

and

$$\max \{|g_i(x)|, |g'_i(x)|, \dots, |g^{(k)}(x)|\} < \frac{\varphi(x)}{2^i}$$

for $i = 1, \dots, n$ and for all $x \in R$.

We shall distinguish two cases: n is even and n is odd.

a) If n is even, denote $s_n = \min \{i \in \mathbf{N} : a_i \notin C_n\}$ and $a_{s_n} = c_{n+1}$.

Then $c_{n+1} \notin C_n$ and hence by 2.5. there is an analytic function $g_{n+1}(x)$ such that

$$\begin{aligned} g_{n+1}(c_i) &= 0 \text{ for } i = 1, \dots, n, \\ f_n(c_{n+1}) + g_{n+1}(c_{n+1}) &\in B \end{aligned}$$

and

$$\max \{|g_{n+1}(x)|, |g'_{n+1}(x)|, \dots, |g^{(k)}_{n+1}(x)|\} < \frac{\varphi(x)}{2^{n+1}}. \quad (2.15)$$

Denote

$$\begin{aligned} f_n(c_{n+1}) + g_{n+1}(c_{n+1}) &= d_{n+1}, \quad C_n \cup \{c_{n+1}\} = C_{n+1}, \\ D_n \cup \{d_{n+1}\} &= D_{n+1}, \quad f_n(x) + g_{n+1}(x) = f_{n+1}(x). \end{aligned}$$

b) If n is odd, denote

$$r_n = \min \{i \in \mathbf{N} : b_i \notin D_n\} \text{ and } b_{r_n} = d_{n+1}; \text{ obviously } d_{n+1} \notin D_n.$$

Since the functions $g_1(x), \dots, g_n(x)$ are bounded and continuous, the function $f_n(x) = x + \sum_{i=1}^n g_i(x)$ is surjective.

Therefore by Lemma 2.6. there is an analytic function $g_{n+1}(x)$ and a point $c_{n+1} \in A$ such that $g_{n+1}(x)$ vanishes at the points $c_1, \dots, c_n, f_n(c_{n+1}) + g_{n+1}(c_{n+1}) = d_{n+1}$ and it holds (2.15).

Denote $C_{n+1} = C_n \cup \{c_{n+1}\}$, $D_{n+1} = D_n \cup \{d_{n+1}\}$, $f_{n+1}(x) = f_n(x) + g_{n+1}(x)$. Define a function $f(x)$ by

$$f(x) = x + \sum_{n=1}^{\infty} g_n(x). \quad (2.16)$$

Since $|g_n(x)| < \varphi(x) \cdot 2^{-n}$, the right-hand side of (2.16) converges for all reals x . Since all $g_n(x)$ are analytic functions also the function $f(x)$ is analytic.

Since $|g'_n(x)| < \varphi(x) \cdot 2^{-n} < 2^{-n}$, we have

$$f'(x) = 1 + \sum_{n=1}^{\infty} g'_n(x) > 0,$$

i.e. the function $f(x)$ is increasing. It is easy to see that $f(x)$ satisfies the condition (1.1).

It is also easy to verify that $A = \bigcup_{n=1}^{\infty} C_n$ and $B = \bigcup_{n=1}^{\infty} D_n$. Since $g_m(c_j) = 0$ for all $m > j$, we have $f(C_n) = D_n$ and therefore $f(A) = B$, too.

2.8. Proof of Theorem 1.2. Since the proof is very similar to that of Theorem 1.1. we shall only sketch it. Let $A = \bigcup_{i \in \mathbb{N}} A_i$, $B = \bigcup_{i \in \mathbb{N}} B_i$. The functions g_n will be constructed in the same way as in the previous proof. However, if n is even and $c_{n+1} \in A_i$ then instead of

$$f_n(c_{n+1}) + g_{n+1}(c_{n+1}) \in B - D_n,$$

we have to arrange

$$f_n(c_{n+1}) + g_{n+1}(c_{n+1}) \in B_i - D_n.$$

Analogously, if n is odd and $d_{n+1} \in B_i$, then instead of $c_{n+1} \in A$ we have to arrange $c_{n+1} \in A_i$.

3. Remarks

We point out again that although we can choose the function in 1.1. so that it is analytic, we can never more result in a polynomial.

3.1. Proposition. There are two countable dense sets A and B in \mathbb{R} such that $P(A) \neq B$ for every polynomial P .

Proof. Let P_1, P_2, P_3, \dots be a one to sequence of all polynomials with rational coefficients.

Put $I = \{i \in \mathbb{N} : P_i(Q) \cap (i, i+1) \neq \emptyset\}$, where Q is the set of rational numbers.

For each $i \in I$ let us choose an arbitrary element

$$r_i \in P_i(Q) \cap (i, i+1).$$

Let us denote $A = Q, B = Q - \{r_i : i \in I\}$.

Let P be a polynomial such that $P(A) = B$. Since $P(A)$ contains only rational numbers all the coefficients of P are rational. Therefore $P = P_i$ for some $i \in \mathbb{N}$. However, then we have $r_i \in P_i(A), r_i \notin B$ which contradicts $P(A) = B$.

3.2. Proposition. Let K be the set of all complex numbers. Let $f(x)$ be a complex analytic function such that

$$|f(x) - x| < e^{-|x|} \text{ for each } x \in K.$$

Then f is the identical function.

Proof. The assertion follows from the Liouville theorem.

This assertion shows that it is not possible to extend Theorem 1.1. to complex numbers.

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SÚHRN

MONOTÓNNE ZOBRAZENIA MEDZI HUSTÝMI SPOČÍTADELNÝMI MNOŽINAMI REÁLNYCH ČÍSEL

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V práci sa dokazuje, že pre ľubovoľné dve husté spočítateľné podmnožiny reálnej osi, ľubovoľnú kladnú spojitú funkciu $\varphi(x)$ a ľubovoľné prirodzené číslo n existuje analytická reálna funkcia $f(x)$ taká, že $f(A) = B$ a pre všetky reálne čísla x platí

$$\max(|f(x) - x|, |f'(x) - 1|, |f''(x)|, \dots, |f^{(n)}(x)|) < \varphi(x).$$

Toto tvrdenie sa zovšeobecňuje na prípad spočítateľných systémov $\{A_i; i \in N\}$, $\{B_i; i \in N\}$ po dvoch disjunktných hustých spočítateľných množinách; vtedy $f(A_i) = B_i$ pre všetky $i \in N$.

Dokazuje sa tiež, že v uvedenom tvrdení nemožno nahradiť reálnu os rovinou komplexných čísel, ani analytickú funkciu $f(x)$ polynómom.

РЕЗЮМЕ

ОТОБРАЖЕНИЯ МЕЖДУ СЧЕТНЫМИ ПЛОТНЫМИ ПОДМНОЖЕСТВАМИ ВЕЩЕСТВЕННОЙ ПРЯМОЙ, СОХРАНЯЮЩИЕ ПОРЯДОК

Ян Борсик, Кошице, Иван Корец, Братислава

В работе доказывается следующая теорема:

Пусть A и B — счетные плотные подмножества вещественной прямой, пусть $\varphi(x)$ — положительная вещественная непрерывная функция вещественного переменного и n — натуральное число. Тогда существует аналитическая функция $f(x)$ такая, что $f(A) = B$ и для всех x

$$\max(|f(x) - x|, |f'(x) - 1|, |f''(x)|, \dots, |f^{(n)}(x)|) < \varphi(x)$$

Эта теорема обобщается для случая счетных систем $\{A_i; i \in N\}$, $\{B_i; i \in N\}$ попарно непересекающихся счетных плотных подмножеств вещественной прямой; тогда $f(A_i) = B_i$ для всех $i \in N$.

Далее доказывается, что теорема не будет верной, если в ней заменить вещественную прямую комплексной плоскостью или аналитические функции полиномами.

